

Key Financial Performance Metrics

Following are highlights of key financial performance metrics for LPL Investment Holdings Inc. For complete financial information, including recent SEC filings, visit the Investor Relations section of LPL.com.

As of	
Advisory & Brokerage Assets (\$ billions)	
Advisory Assets (\$ billions)	
Net Revenue (\$ millions)	
Annual Net Revenue (\$ millions)*	
Financial Advisors	
Employees	
Funded Accounts (millions)	
Financial Institution Partners	
Excess Net Capital, LPL Financial LLC (\$ thous)	
Industry ranking by advisors**	

*As of

**Based on publicly disclosed information and announced mergers as of

The LPL Financial family of affiliated companies includes LPL Financial, UVEST Financial Services Group Inc. each of which is a member of FINRA/SIPC

Not FDIC or NCUA Insured	No Bank or Credit Union Guarantee	May Lose Value
Not Insured by any Federal Government Agency		Not a Bank or Credit Union Deposit